

Empowering Knowledge: Elsevier and Open Science

September 2017

Who we are and what we do



Elsevier is part of the RELX group



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Risk



Leading global provider of information solutions
in the fields of science, technology, health

Researchers

Corporate
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Educators

Research
Office,
Policy

Elsevier is a driver of knowledge, with nearly 200 years of heritage

A world-leading provider of information solutions that help science, technology, and health professionals make better decisions, deliver better care, and make ground-breaking discoveries in their fields.



66 in **24**
Locations Countries

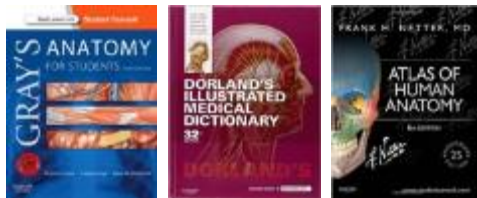


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Headquarters in AMSTERDAM

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20 years

of experience
in managing big data

Flagship
Products



MENDELEY



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High performance
computing cluster



Our HPCC supercomputer
sorts **billions of records**
within **sub-seconds**

and stores

2 Petabytes of data

150m patient
data sets in US

6m
patient data
sets in Germany



**Sophisticated data mining
and advanced analytics:
Elsevier Medical Graph**

**Extensive expertise in data
security healthcare big
data**

Open Science



What is open science?

Open Science describes a way of working which makes research either more **open** or more **collaborative** or more **transparent**.



More Open

- More outputs of research are available without charge to the end user and/or less restrictive user reuse rights



More Collaborative

- Research activities include other stakeholders, including the public



More transparent

- The research process is made clearer, more discoverable and more reproducible

Global approaches to OS



FAIR Data

Publishing
models for
OA

Open
Science
Cloud

Alternative
Metrics

EC policy priorities

- EOSC
- FAIR data
- Altmetric
- Open Access

Open Science Policy Platform

Rewards

Research
integrity

Citizen
Science

Skills &
Training

Global approaches to OS



- Open Access policy
- Open Data Concordat
- Industrial Strategy



- 5th Basic Plan, 2016-2020
- JST and JSPS OA policies
- Japan Science Cloud under discussion



- OSTP memo
- NIH Data Science Initiative
- NAS

More Open



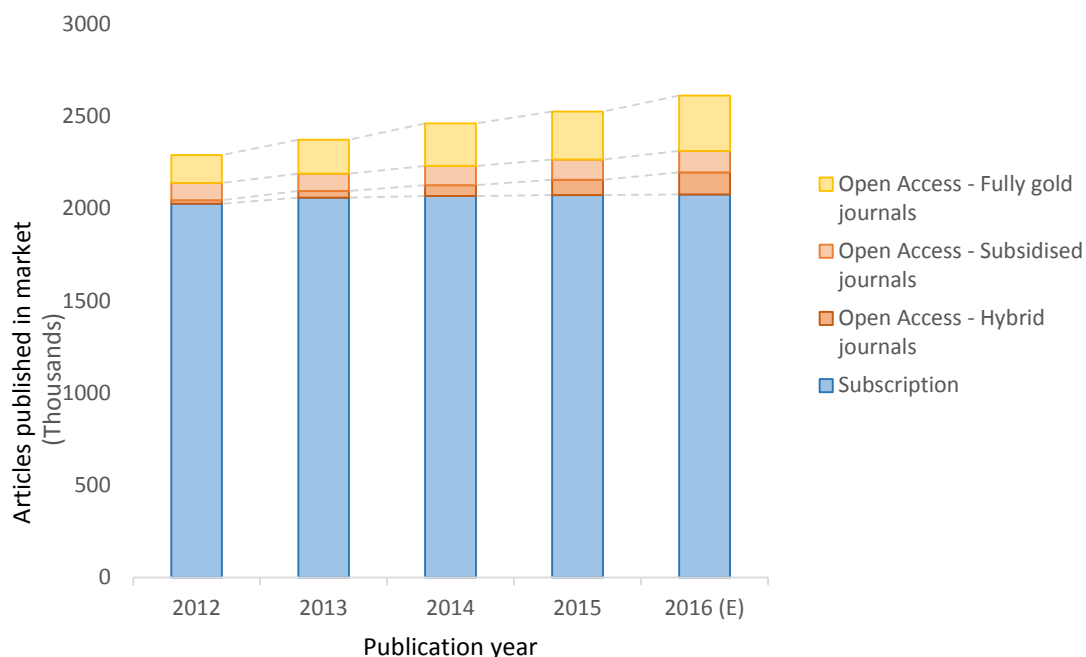
What is open access (OA)?

- Free and permanent access to scholarly research for everyone
- Referred to as Public Access in the US
- **Gold open access**
 - Final version of an article is immediately accessible to everyone
 - Cost of publishing is recovered upfront
 - Typically as an Article Publishing Charge (APC)
- **Green open access:**
 - A version of a subscription article is made available open access
 - Usually after an embargo period
 - Normally a draft copy of the article but can also be the final version



Total article growth by journal business model

In 2016 there were an estimated 2.1 million subscription and 0.5 million open access articles published worldwide.



Subscription content

- 80% share of total articles in 2016

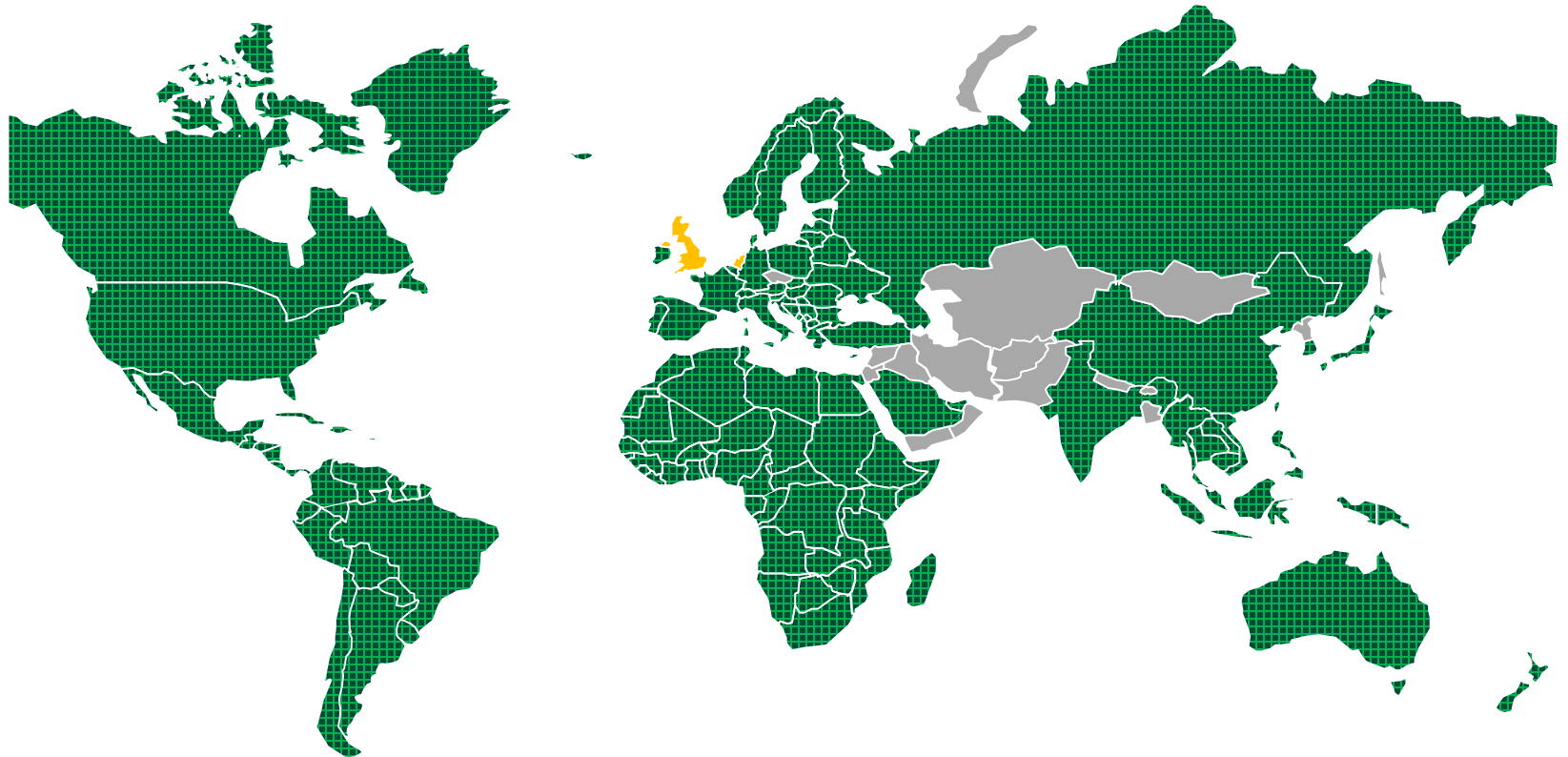
Open access content

- APC based open access articles is 16% share of total content in 2016
- All gold open access, including subsidized articles, is 20% share of total content.

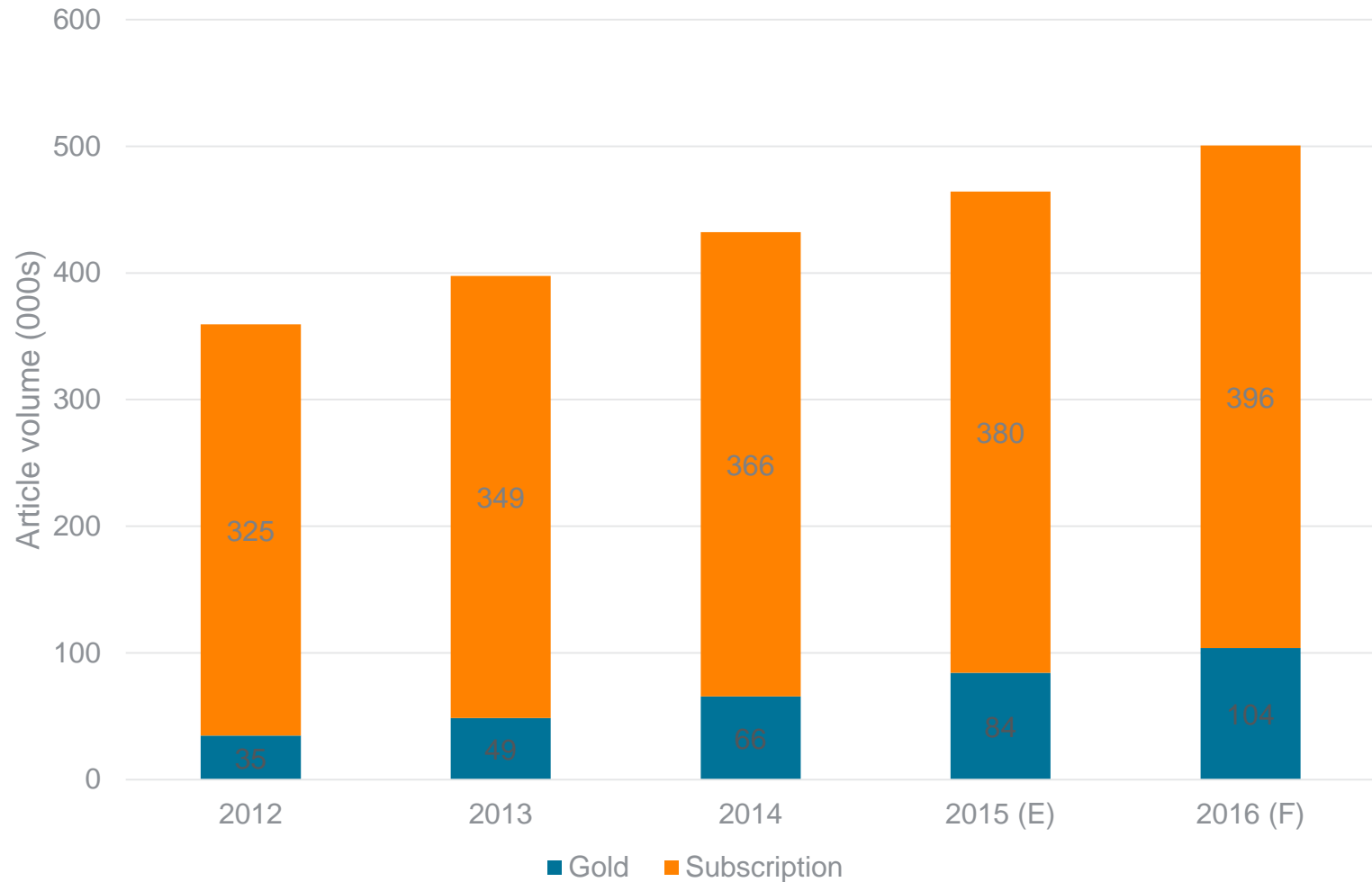
Elsevier perspective

- In 2016, Elsevier published around 480,000 articles
- Includes over 25,000 open access articles

Global perspective on OA



China and OA: a global snapshot



Elsevier and open access

Gold open access

- 2nd largest gold open access publisher
- Publish over 170 fully open access journals
- Publish over 1,850 hybrid journals
- Published over 25,000 open access articles in 2016
- Choice of either a commercial (CC BY) or non-commercial (CC-BY-NC-ND) user license.
- Article publishing charges (APCs) range from \$150- \$5000 (US Dollars)

Green open access

- Largest publisher enabler of green open access
- All 2500+ journals provide a green open access option
- Participate in CHORUS and support pilots with institutions and international funders
- Free API program to fuel repositories
- Share link service provides 50 days free access to recently published research
- Open archives in 108 journals, including Cell Press titles after 12 months

Fueling Institutional repositories: Free API program

Get metadata & abstracts

Search API can extract the metadata and abstracts of your affiliated authors to help populate your repository, enhance discoverability and create links or embed final version

Show the final version

Entitlements API** can ensure subscribed users see the final version and visitors can see the accepted manuscript hosted on your repository

Keep users on your IR

Article Retrieval API embeds the final article in your repository so subscribers don't leave your site to access the article

Make manuscripts public

Hosting permissions API can retrieve embargo end dates so you can make manuscripts automatically available to the public after embargo

Scopus[®] Did you know?

Scopus customers can also integrate Scopus APIs to retrieve metadata and abstracts across all publishers

CHORUS

- A low cost solution that widens access, compliance, discovery, and preservation of peer reviewed articles
- Addresses critical pain points of cost and efficiency by:
 - Avoiding duplication of effort
 - Increasing compliance
 - Maximizing the benefit from existing, proven infrastructure
 - Transparent reporting and tracking for funders and institutions
- Currently operational for US federal agencies and piloting services in Japan and Australia
- Piloting services for Institutional Repositories

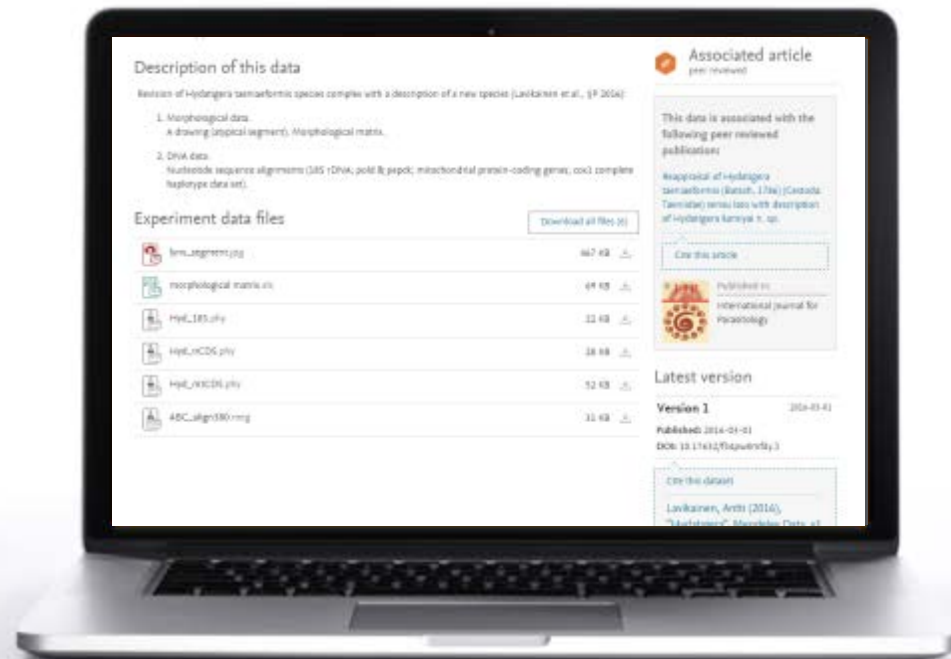


Smithsonian
Institution



Storing research data

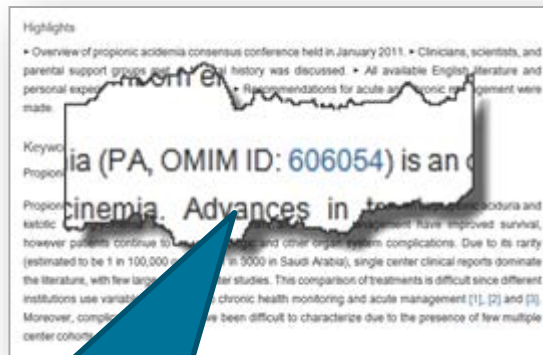
- Submitting authors can store research data in Mendeley data repository and link this to their article on ScienceDirect
- Open access, free-to-use repository
- Hosts all formats (raw, processed data, tables, code and software)
- 10GB free storage and CC0 license option
- Assigns data DOI to make it independently citable
- Partnership with DANS for long term storage and preservation



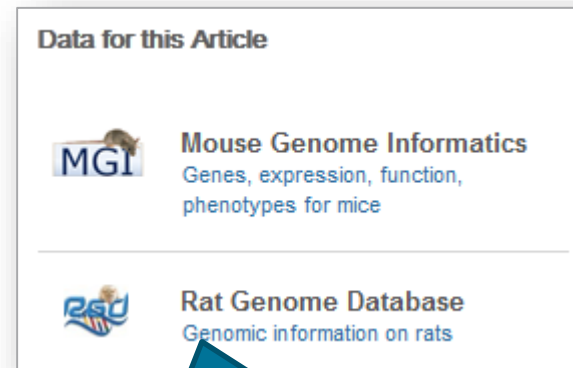


Linking articles to external data repositories

- Free Data-linking tool provides one click access to relevant stored data sets
- 50+ leading domain specific data repositories
- Linking enabled by in-article accession numbers, data DOI's, or data banners



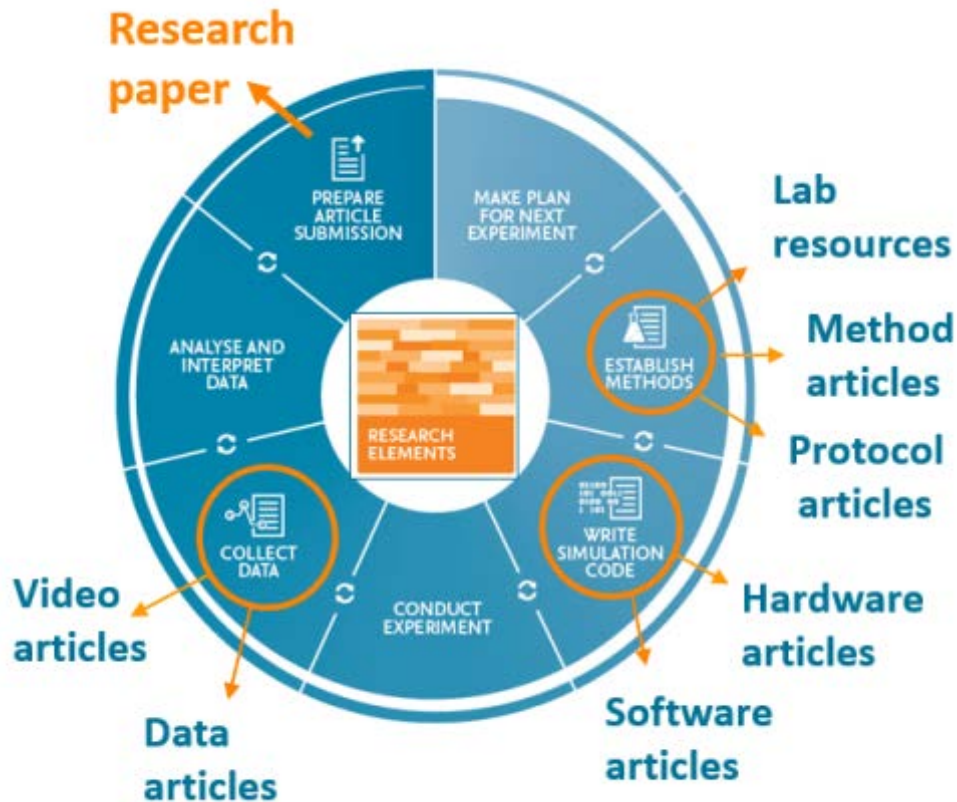
Linking through **in-article data accession numbers**



Database banners shown next to the article on ScienceDirect



Publishing data articles



- Offer researchers an easy channel to publish their research output, **receive credit**, and **make research objects discoverable**
- Dedicated sections in regular journals
- Specialized, fast and transparent peer-review process
- Co-submission service via regular journals for data articles
- Primarily **Open Access**, APCs of ~500 USD

**Because no matter what field of research you are in,
DATA, METHODS and SOFTWARE always matter**

Open peer review reports

Pilot in 5 journals to experiment with publishing peer review reports as openly available research outputs with their own DOI.

| Editors | Reviewers | Authors |
|--|---|--|
| <ul style="list-style-type: none">• 70% of those surveyed believe that reports are more in depth and constructive i.e. improved and more useful to authors, as a result of being made open• Some concern it may make it harder to find reviewers. | <ul style="list-style-type: none">• Like the ability to get recognition• Over 95% said publishing review reports didn't influence their recommendation nor would it influence them accepting further review invites for the journal. | <p>Generally positive about having open peer review reports next to their article</p> <p>Little impact on their journal choice, indeed slightly more likely to choose a journal offering this.</p> |

Forward look: Extend to other interested journals and provide more data about the peer review process on ScienceDirect

More Collaborative



Collaboration drives performance

SciVal Home Overview Benchmarking Collaboration Trends Reporting My SciVal Scopus Gemma Hersh

Chinese Academy of Sciences

China More details on this institution

2012 to 2016 no subject area filter selected ASJC Data sources

Summary Topics Awarded Grants Collaboration Published Viewed Cited Economic Impact Societal Impact Authors

Overall research performance

Scholarly Output 214,787 Authors 161,876 Field-Weighted Citation Impact 1.26

Citation Count 1,900,510

Overview Benchmarking Collaboration Trends Reporting My SciVal Scopus Gemma Hersh

Summary Topics Awarded Grants Collaboration Published Viewed Cited Economic Impact Societal I

Overall Top collaborating Institutions

Collaboration

Publications at the Chinese Academy of Sciences, by amount of international, national and institutional collaboration

| Metric | Publications | Citations | Citations per Publication | Field-Weighted Citation Impact | |
|--------------------------------------|--------------|-----------|---------------------------|--------------------------------|------|
| International collaboration | 25.9% | 55,571 | 712,108 | 12.8 | 1.86 |
| Only national collaboration | 66.2% | 142,278 | 1,111,958 | 7.8 | 1.08 |
| Only institutional collaboration | 6.4% | 13,700 | 62,091 | 4.5 | 0.85 |
| Single authorship (no collaboration) | 1.5% | 3,238 | 14,353 | 4.4 | 0.79 |

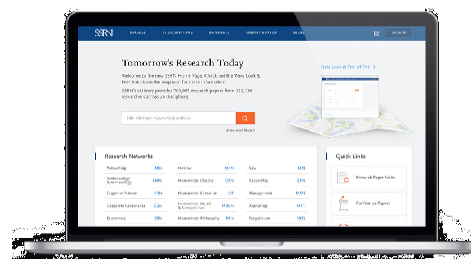
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References



5.8M+
Citations



8.9M+
Footnotes



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[Who uses](#)



- Signatory of STM principles on article sharing on SCNs
- Public metadata, links, lists
- Group sharing functionality
- Mendeley Stats

A Unique Scholarly Collaboration Network

About Mendeley

Mendeley is a Scholarly Collaboration Network (SCN) and best in class reference manager platform. Over 5 million users trust Mendeley to organize, share, collaborate on and discover the latest research.

Elsevier and Mendeley's combined capabilities allow the creation of a thriving global

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- Articles chosen by external advisory board
 - shortlist from Elsevier's 1800+ journals
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- Author receive the Atlas award and have their article free to access on ScienceDirect



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A more inclusive world of research

Home > Research Intelligence > Resource library > Gender in the Global ...

Gender in the Global Research Landscape Report

“As a steward of world research, Elsevier has a responsibility to promote gender equality in Science, Technology, Engineering, and Mathematics and advance understanding of the impact of gender, sex, and diversity in research. In this regard, Elsevier fully supports the United Nations’ Sustainable Development Goal 5, “to achieve gender equality and empower all women and girls,” and the Global Research Council’s Statement of Principles and Actions Promoting the Equality and Status of Women in Research.” – Ron Mobed, Elsevier CEO

Critical issues related to gender disparity and bias must be examined by sound studies. Drawing upon our high-quality global data sources, analytical expertise and unique gender disambiguation methodology



More Transparent



Comprehensive Journal Data Guidelines



<https://www.elsevier.com/authors/author-services/research-data/data-guidelines>

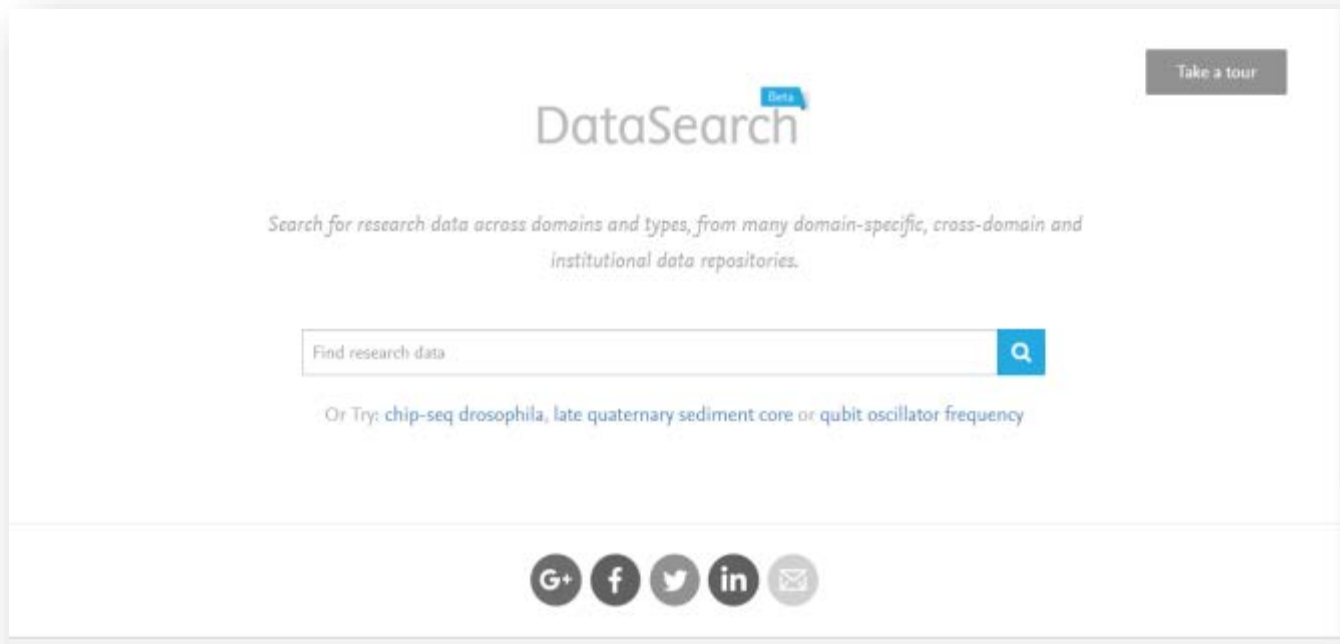
TOP GUIDELINES
TRANSPARENCY AND OPENNESS PROMOTION

<https://cos.io/our-services/top-guidelines/>

DataSearch link from Scopus

Research data enhance the value of an article and aid reproducibility. Scopus is recognizing the importance of research data as both output and sourcing for articles.

- Last March 2017, Scopus started a collaboration with [DLI Service](#) to find data related to any specific document.
- With this new release, Scopus is enhancing its involvement in research data by starting a new collaboration with [DataSearch](#).



Implemented data citations

- Authors are encouraged to cite datasets
- Used to access a direct link to stored datasets and encourage data sharing
- Implemented the FORCE 11 data citation principles for all journals
- Data references contain different elements than article references
 - Require new fields to be added
 - Tags to distinguish between data and article references



The Future of Research Communications and e-Scholarship

References

Barnett et al., 2013 C.L. Barnett, N.A. Beresford, L.A. Walker, M. Baxter, C. Wells, D. Copplestone
Element and radionuclide concentrations in representative species of the ICRP's reference animals and plants and associated soils from a forest in North-west England
NERC — Environmental Information Data Centre (2013) <http://dx.doi.org/10.5285/e40b53d4-8699-4557-bd55-10d196ece9ea>

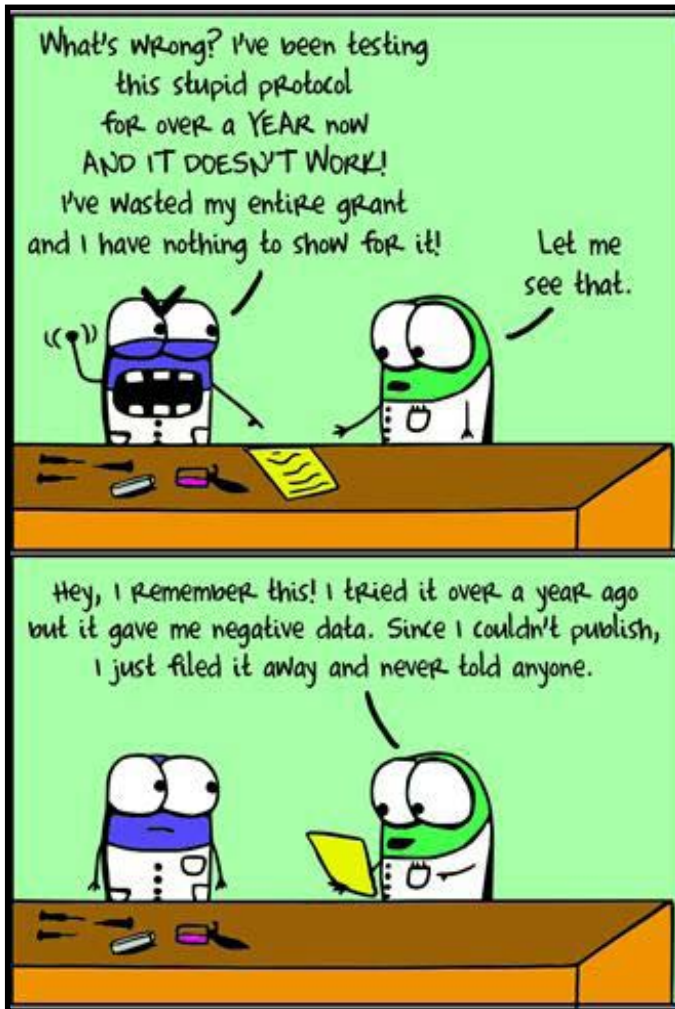
Beresford, 2010 N.A. Beresford
The transfer of radionuclides to wildlife (Editorial)
Radiat Environ Biophys, 49 (2010), pp. 505–508

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Beresford et al., 2008a N.A. Beresford, M. Balonov, K. Beaugelin-Seiller, J. Brown, D. Copplestone, J.L. Hingston, *et al.*
An international comparison of models and approaches for the estimation of the radiological exposure of non-human biota
Appl Radiat Isot, 66 (2008), pp. 1745–1749

[Article](#) | [PDF \(272 K\)](#) | [View Record in Scopus](#) | [Citing articles \(27\)](#)

Enhanced Reporting

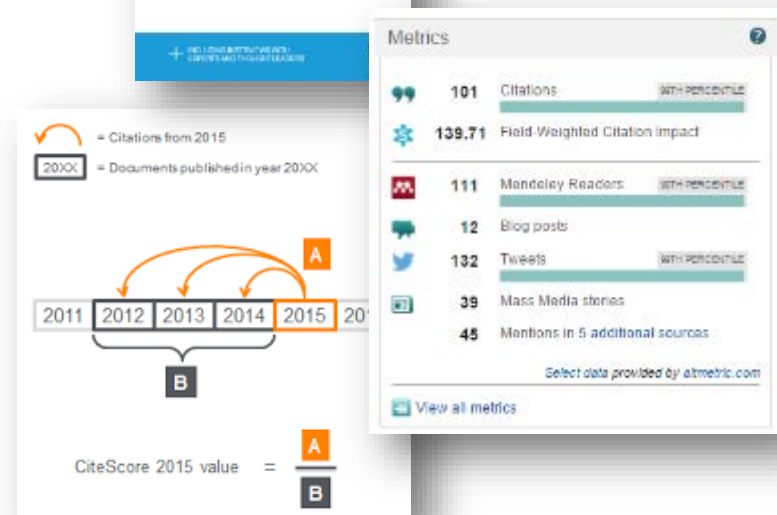
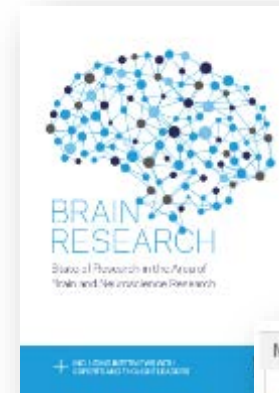


- WHO calling for main findings submitted for publication - including negative findings
 - Launch of journal *New Negatives in Plant Sciences*
- Scientists need a clear framework to submit data for review and publication.
 - Launch journals *Data in Brief* and *Genomics Data*
- AllTrials calls for all clinical trials to be registered with full methods and summary results reported
 - Launch of journal *Contemporary Clinical Trials Communications*
 - Participation in new **Crossref** pilot to link published reports of clinical trials to the registered study

Elsevier and research metrics

Our Metrics Manifesto

- Need to use different metrics and common sense
 - Decisions should be based on both quantitative and qualitative input
 - Should always use at least two metrics (more than one way to 'excellence')
- The methodologies should be open, transparent, valid and replicable
- Definitions should be owned by the community
 - Need trust between the parties using metrics to evaluate



SSRN
tomorrow's research today

hav-
fid.

dic-tion-ā'ri-ān, n. a
dic'tion-ā-ry, n.; pl

dictionary, from
dicere, to speak.]

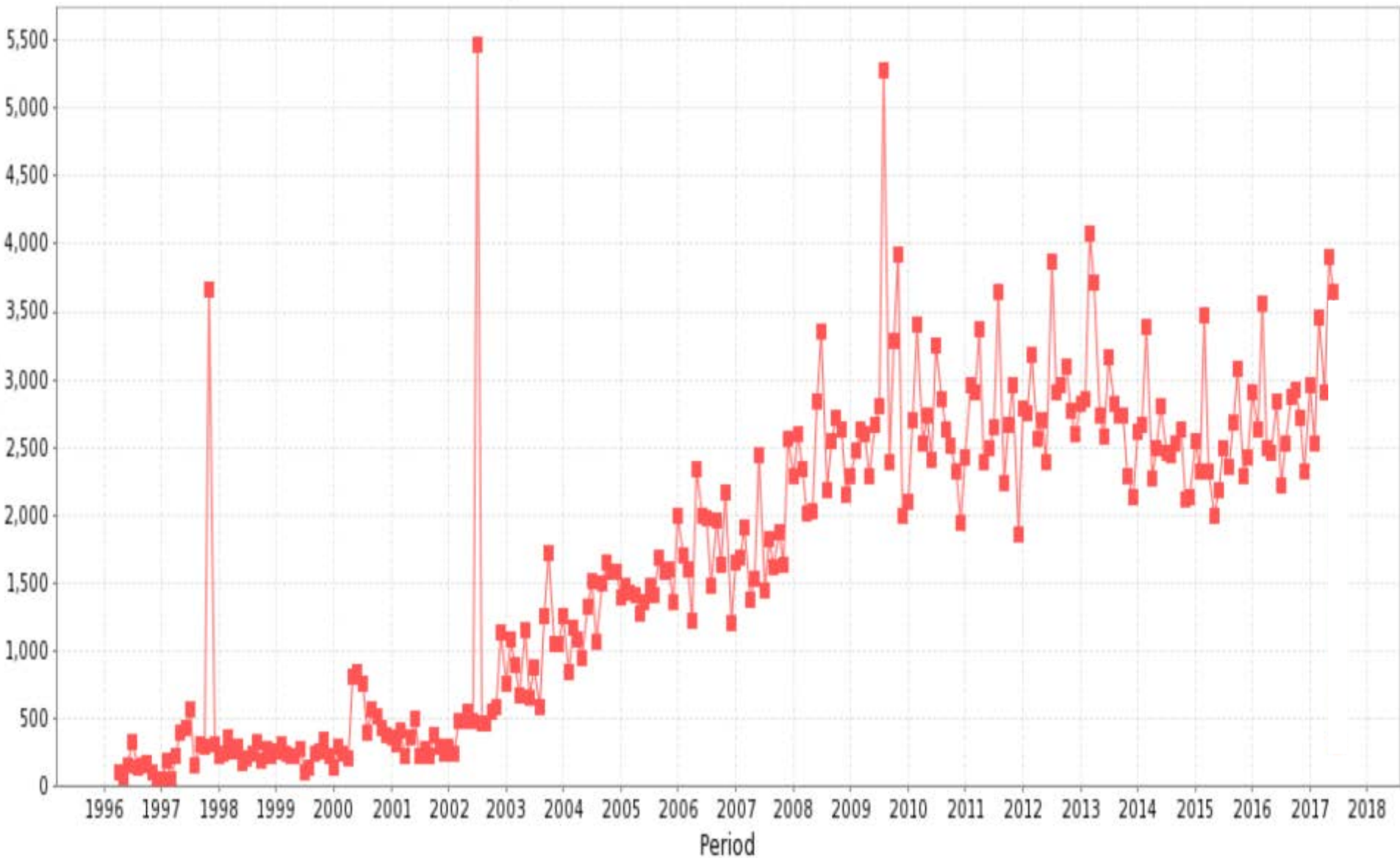
1. a book conta
guage, arranged
definitions, etym
other informatio
2. a book of al
guage with

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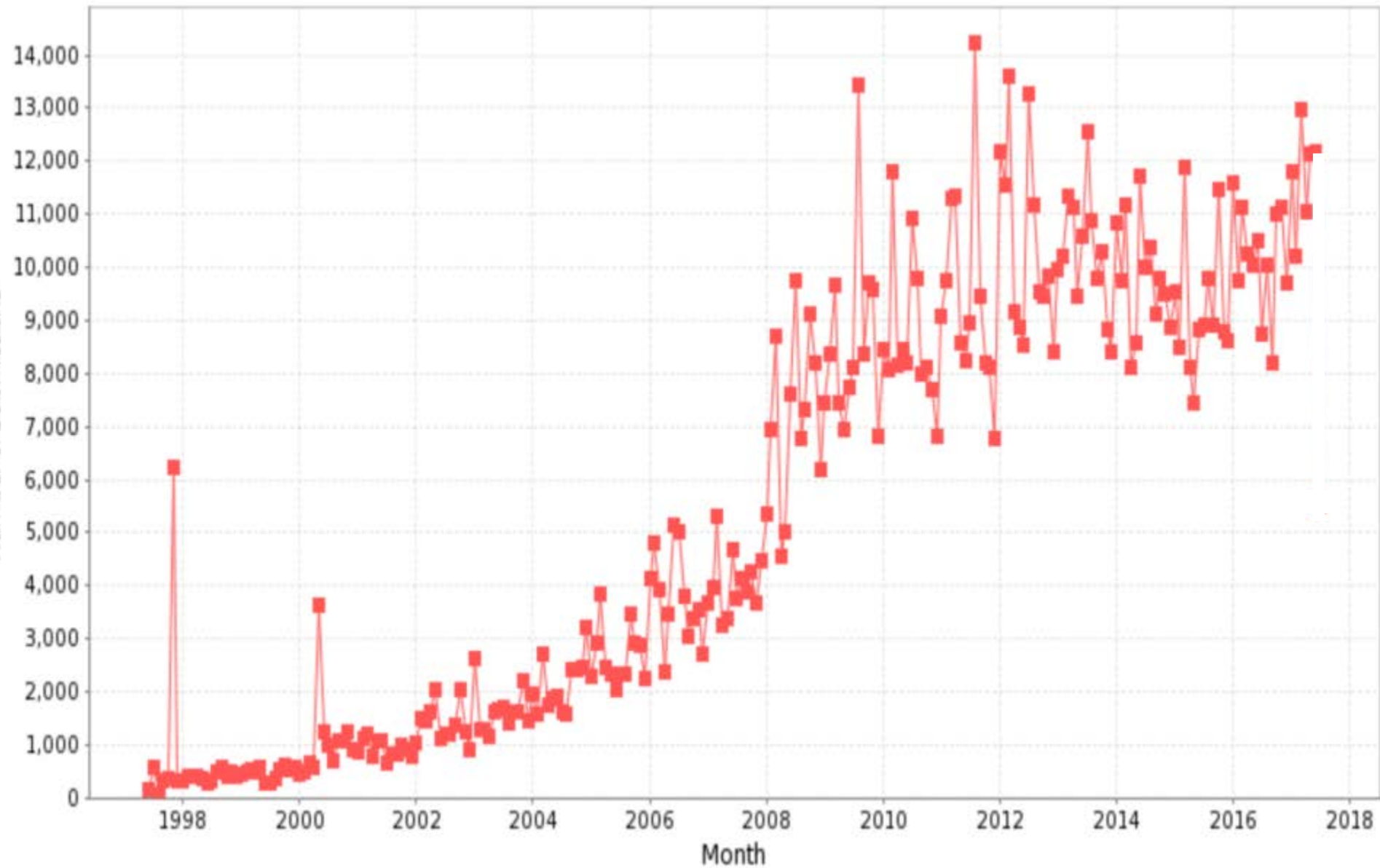
What does SSRN do?

- online repository of non-refereed working and refereed accepted papers
- email/RSS alerts for new submissions and web access to all content
- non-exclusive license to post/distribute allows authors to retain copyright
- levels the playing field amongst researchers
- strongly support open/sharing for 20+ years

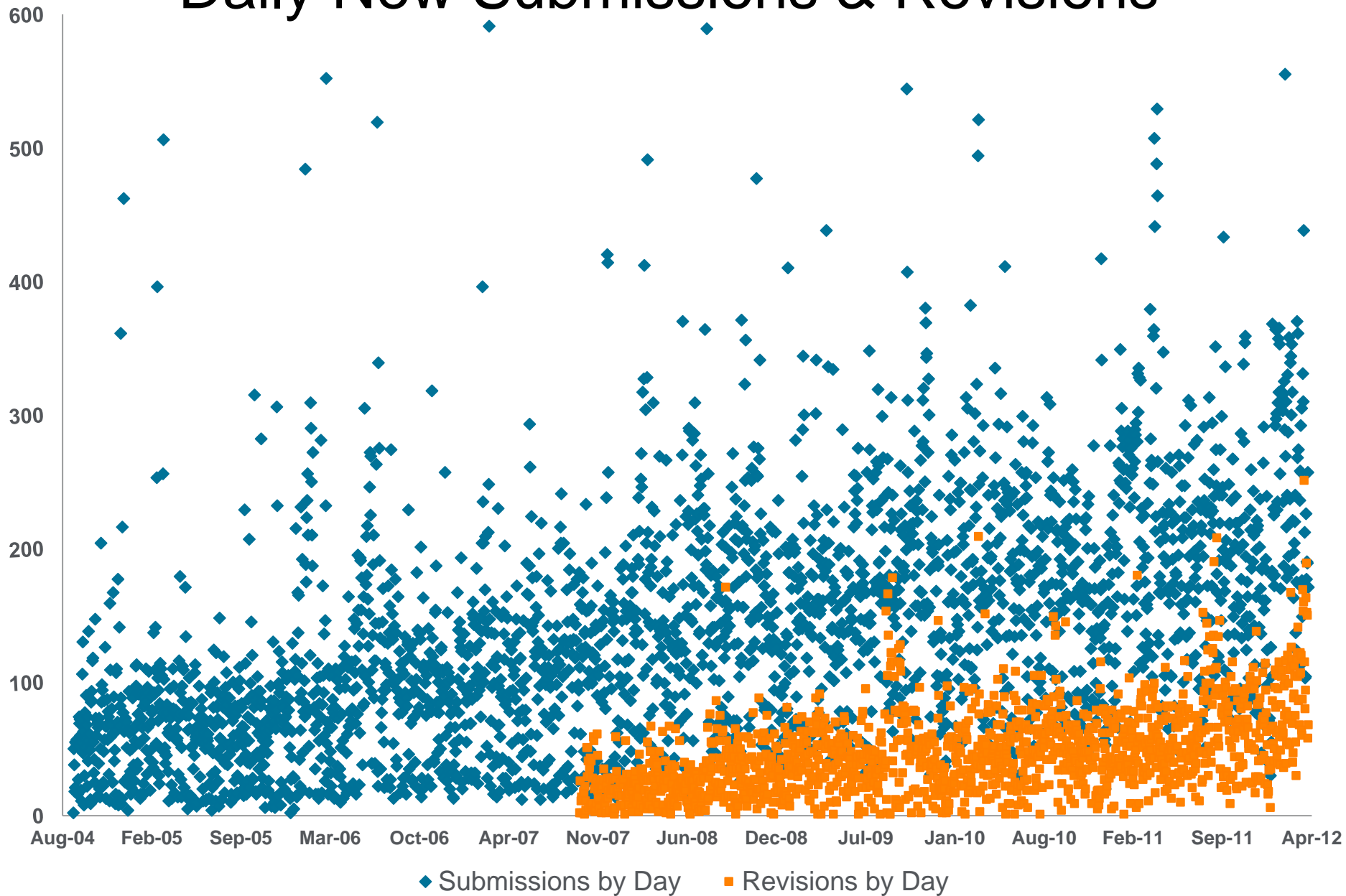
Monthly New Authors



Monthly Submissions



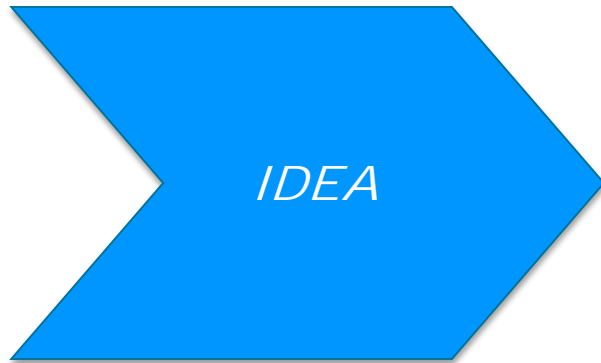
Daily New Submissions & Revisions



◆ Submissions by Day ■ Revisions by Day

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the amount of content relevant to you

Access to early stage research increases
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Access to early stage research increases the amount of content relevant to you



Access to early stage research increases the amount of content relevant to you



and now later

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the amount of content relevant to you



and now later *and data*

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 - ✦ Criminal Law & Procedure eJournals ⊖ 22,943 Papers
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 - ✦ Experimental & Empirical Studies eJournals ⊖ 8,842 Papers
 - Family & Children's Law eJournal ⊖ 8,949 Papers
 - Federal Courts & Jurisdiction eJournal ⊖ 3,141 Papers
 - Fiduciary Law eJournal ⊖ 1,635 Papers
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 - ✦ Health Law eJournals ⊖ 14,221 Papers
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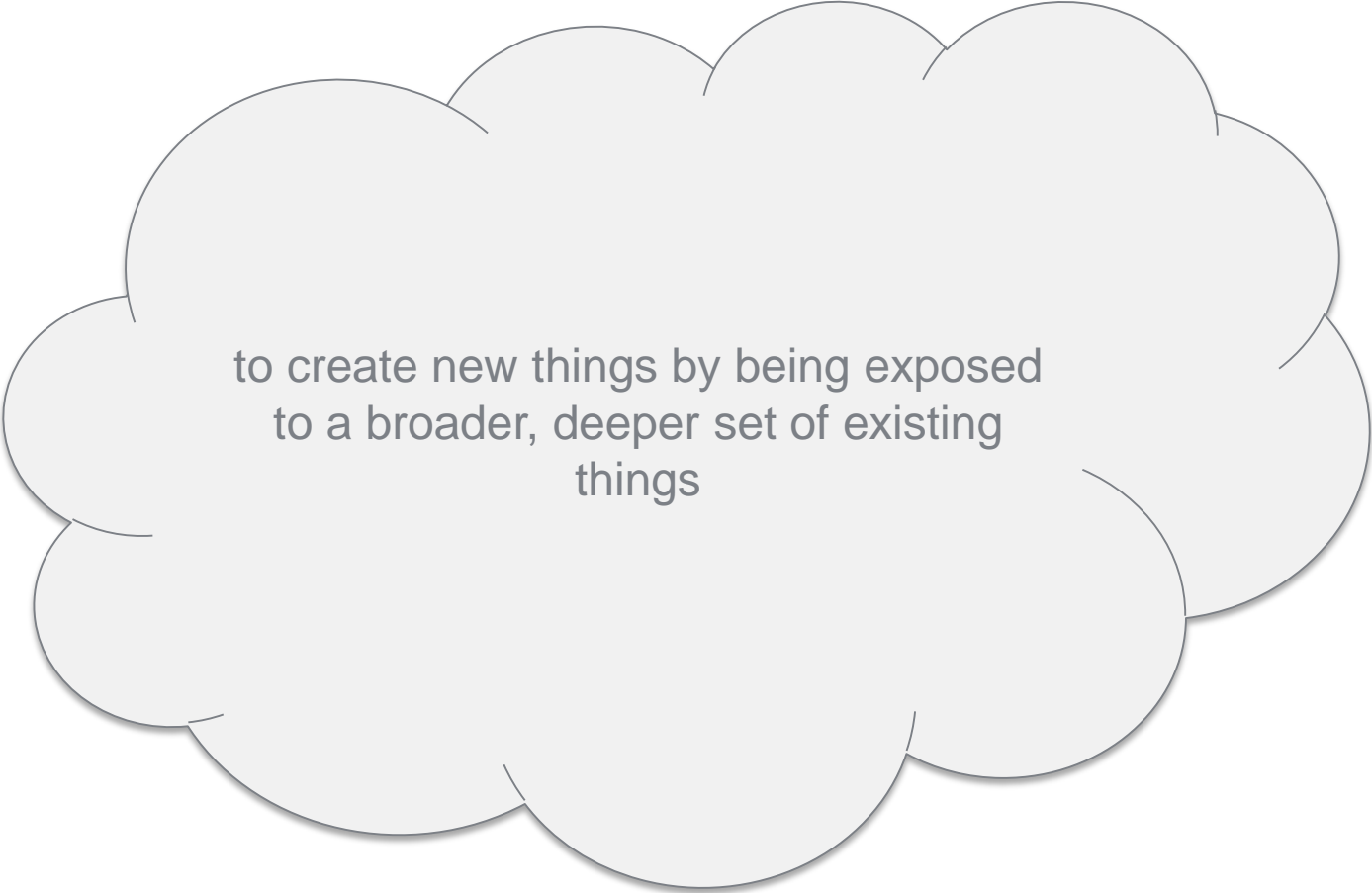
Tomorrow's Research Today

SSRN Platform – source of the new, new

A photograph of a laptop screen. The screen shows a line graph with a fluctuating line and a globe. The text is overlaid on the screen.

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Ideas are developed.
Early research is shared.**

Definition of Innovation



to create new things by being exposed
to a broader, deeper set of existing
things

Enhance Research Performance



A laptop screen is shown in a dark, dimly lit environment. The screen displays a line graph with a blue line showing an overall upward trend with some fluctuations. Below the graph, there is a globe icon. The text 'Enhance Research Performance' is overlaid in white, bold font. Below it, the text 'Help researchers create cool, new innovative research faster' is overlaid in white, italicized font. The laptop's keyboard is partially visible at the bottom right.

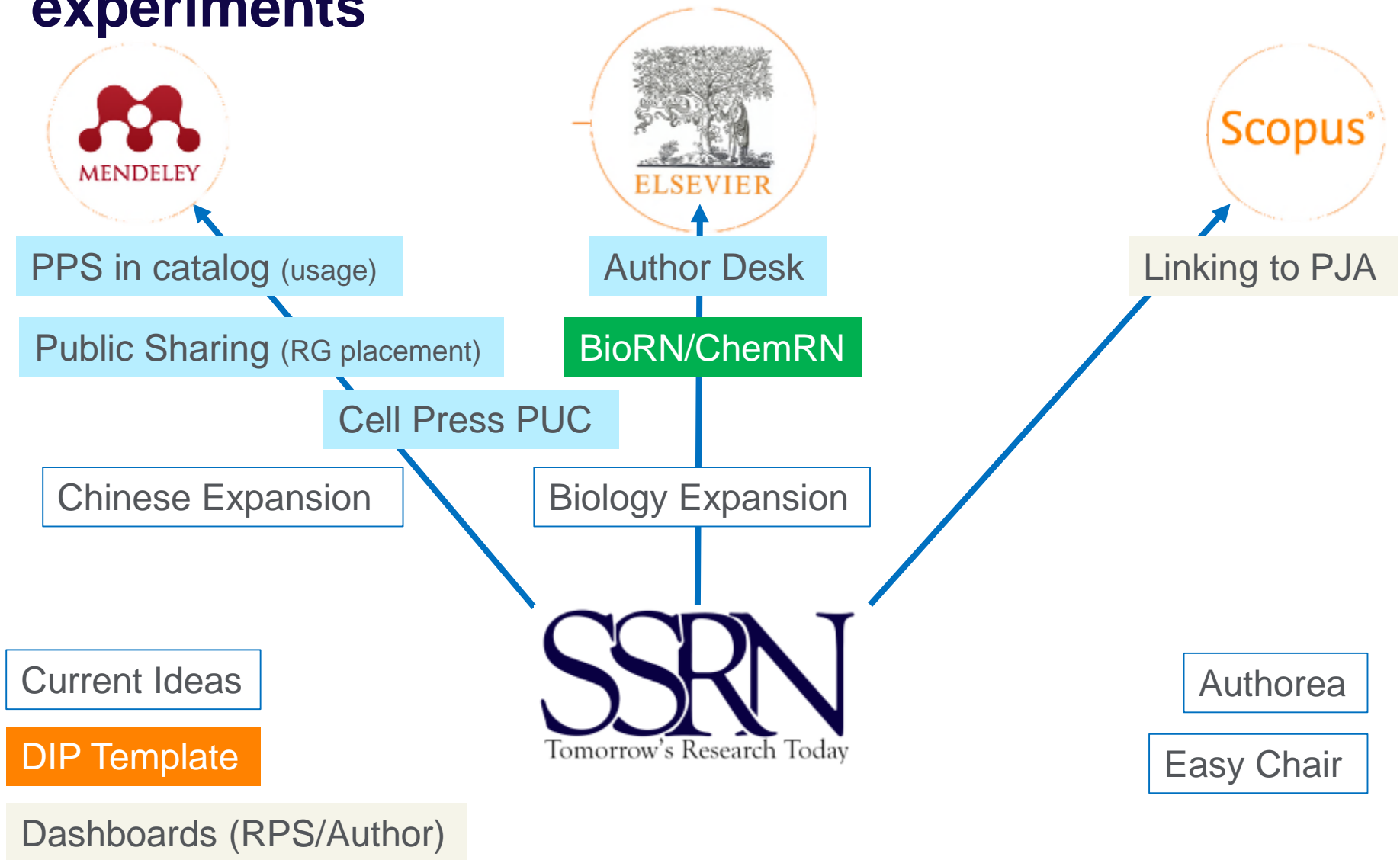
Enhance Research Performance

*Help researchers create cool,
new innovative research faster*

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SSRN connects with stakeholders through experiments



More is simply more: thoughts on open access

PRESIDENT'S NOTES



Open access

<http://ssrnblog.com/2017/10/22/more-is-simply-more-thoughts-on-open-access/>

During Open Access Week, it's interesting to look at the proliferation of open access types and the associated terminology. It started simply with the Budapest Open Access Initiative in 2002:

Researchers from China SSRN share their research on SSRN



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| Cheung Kong Graduate School of Business :: China | 21 | 163 | 84,501 |
| China Europe International Business School (CEIBS) :: China | 46 | 268 | 80,546 |
| University of International Business and Economics (UIBE) :: China | 102 | 245 | 26,800 |
| Fudan University - School of Management :: China | 50 | 137 | 22,527 |
| Peking University - Shenzhen Graduate School of Business :: China | 27 | 117 | 20,118 |
| Shanghai Jiao Tong University (SJTU) - Antai College of Economics and Management :: China | 49 | 123 | 18,730 |
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| KDI School of Public Policy and Management :: Korea, Republic Of (south Korea) | 57 | 201 | 30,297 |
| KAIST Business School :: Korea, Republic Of (south Korea) | 48 | 128 | 22,249 |
| Yonsei University, Seoul Campus, College of Business and Economics :: Korea | 21 | 122 | 14,444 |
| Korea Advanced Institute of Science and Technology (KAIST) - College of Business :: Korea, Republic Of (south Korea) | 47 | 112 | 11,653 |
| Sungkyunkwan University - School of Business Administration :: Korea, Republic Of (south Korea) | 12 | 35 | 8,722 |
| SolBridge International School of Business :: Korea, Republic Of (south Korea) | 9 | 23 | 5,742 |
| Gachon University - College of Business and Economics :: Korea | 17 | 64 | 4,749 |
| Hankuk University of Foreign Studies - College of Economics and Business :: Korea | 8 | 19 | 4,018 |

Researchers from Japan SSRN share their research on SSRN



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| Kobe University - Graduate School of Business Administration :: Japan | 21 | 42 | 4,894 |
| Hitotsubashi University - Graduate School of Commerce and Management :: Japan | 16 | 35 | 3,490 |
| Hokkaido University - Graduate School of Economics & Business Administration :: Japan | 17 | 57 | 3,331 |
| Aoyama Gakuin University - Graduate School of International Management :: Japan | 3 | 13 | 2,531 |
| Hosei University - Graduate School of Business Administration :: Japan | 1 | 13 | 2,432 |
| University of Tsukuba - Graduate School of Business Sciences :: Japan | 3 | 8 | 2,371 |
| Keio University - Faculty of Business and Commerce :: Japan | 10 | 30 | 2,143 |
| Keio University - Graduate School of Business Administration :: Japan | 4 | 13 | 1,986 |



ありがとうございました

Gregg@SSRN.com
SSRNblog.com
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